

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i>	Attorney Docket No.	3033.1002-001
	First Named Inventor or Application Identifier	Darrell H. Carney
	Express Mail Label No.	EL551755772US

Title of  
Invention **STIMULATION OF BONE GROWTH WITH THROMBIN PEPTIDE DERIVATES**

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. ☒ Specification **[Total Pages 27]**  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to microfiche Appendix
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) **Total Sheets** ☐  
☐ Formal ☐ Informal  
☐ Fig. of the Drawings for Publication ☐
4. ☒ Oath or Declaration/POA **Total Pages** **[6]**
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
**[NOTE Box 5 below]**
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(useable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program *(Appendix)*
7. ☒ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a. ☒ Computer Readable Copy
  - b. ☒ Paper Copy (identical to computer copy)  
**2 Pages**
  - c. ☒ Statement verifying identity of above copies

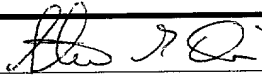
## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & documents) ✓  
☒ Assignee -  
The Board of Regents, The University of Texas System
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Nonpublication Request *(check parent application)*
17. ☐ Other: \_\_\_\_\_

18. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:  
Prior application information: Examiner: Group Art Unit:

## 19. CORRESPONDENCE ADDRESS

NAME	Carolyn S. Elmore				
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Signature		Date	July 19, 2001
Submitted by Typed or Printed Name	Steven G. Davis	Reg. Number	39,652

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

07/19/01

09909122-071901

## FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number	3033.1002-001
Application Number	
First Named Inventor	Darrell H. Carney

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	37 - 20* =	17	x \$ 18 =	\$ 306
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	5 - 3** =	2	x \$ 80 =	\$ 160
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 1176
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$
				TOTAL =	\$ 1176
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$
				Assignment Recordation Fee = (only when filed with application)	\$ 40
				TOTAL =	\$ 1216

\* Reissue claims in excess of 20 and over original patent  
 \*\* Reissue independent claims over original patent

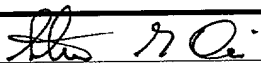
1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$1216. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature		Date	July 19, 2001
Submitted by Typed or Printed Name	Steven G. Davis	Reg. Number	39,652

CSE/SGD/sdb(dcm)  
July 19, 2001

Date: <u>July 19, 2001</u>
EXPRESS MAIL LABEL NO. <u>EL551755772US</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Darrell H. Carney, Roger S. Crowther, David J. Simmons,  
Jinping Yang, and William R. Redin  
Title: STIMULATION OF BONE GROWTH WITH THROMBIN  
PEPTIDE DERIVATIVES

TRANSMITTAL OF SEQUENCE LISTING IN COMPUTER READABLE FORM  
IN COMPLIANCE WITH 37 C.F.R. §§1.821(e) AND (f)

Box Sequence  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicant's Attorney hereby states that the content of the "Sequence Listing" in paper form and of the computer readable form of the "Sequence Listing" are the same.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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Date: July 19, 2001

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